



Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	10/612,109
		Filing Date	July 1, 2003
		First Named Inventor	EWERS, RICHARD C.
		Art Unit	3761
		Examiner Name	Unassigned
Sheet	1	of	12
		Attorney Docket Number	021496-002110US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	2,201,610	05-21-1940	Dawson, Jr.	
	2	2,413,142	12-24-1945	Jones et al.	
	3	3,150,379	09-29-1964	Brown	
	4	3,166,072	01-19-1965	Sullivan, Jr.	
	5	3,494,006	02-10-1970	Brumlik	
	6	3,551,987	01-05-1971	Wilkinson	
	7	3,646,615	03-07-1972	Ness	
	8	3,664,345	05-23-1972	Dabbs et al.	
	9	3,753,438	08-21-1973	Wood et al.	
	10	3,867,944	02-25-1975	Samuels	
	11	3,874,388	04-01-1975	King et al.	
	12	3,910,281	10-07-1975	Kletschka et al.	
	13	3,976,079	08-24-1976	Samuels et al.	
	14	4,007,743	02-15-1977	Blake	
	15	4,060,089	11-29-1977	Noiles	
	16	4,069,825	01-24-1978	Akiyama	
	17	4,245,624	01-20-1981	Komiya	
	18	4,367,746	01-11-1983	Derechinsky	
	19	4,414,720	11-15-1983	Crooms	
	20	4,462,402	07-31-1984	Burgio	
	21	4,494,531	01-22-1985	Gianturco	
	22	4,532,926	08-06-1985	O'Holla	
	23	4,534,350	08-13-1985	Golden et al.	
	24	4,548,202	10-22-1985	Duncan	
	25	4,586,503	05-06-1986	Kirsh et al.	
	26	4,592,339	06-03-1986	Kumak et al.	
	27	4,592,356	06-03-1986	Gutierrez	
	28	4,595,007	06-17-1986	Mericle	
	29	4,610,250	09-09-1986	Green	
	30	4,669,473	06-02-1987	Richards et al.	
	31	4,705,040	11-10-1987	Mueller et al.	
	32	4,711,002	12-08-1987	Kreeger	
	33	4,724,840	02-16-1988	McVay et al.	
	34	4,750,492	06-14-1988	Jacobs et al.	
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	38	4,873,976	10-17-1989	Schreiber	
	39	4,890,615	01-02-1990	Caspari et al.	
	40	4,923,461	05-08-1990	Caspari et al.	
	41	4,929,240	05-29-1990	Kirsh et al.	

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<b>U.S. PATENT DOCUMENTS*</b>					
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	42	4,957,498	09-18-1990	Caspari et al.	
	43	5,032,127	07-16-1991	Frazee et al.	
	44	5,035,692	07-30-1991	Lyon et al.	
	45	5,037,433	08-06-1991	Wilk et al.	
	46	5,041,129	08-20-1991	Hayhurst et al.	
	47	5,059,201	10-22-1991	Asnis	
	48	5,088,979	02-18-1992	Filipi et al.	
	49	5,100,418	03-31-1992	Yoon et al.	
	50	5,108,420	04-28-1992	Marks	
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	73	5,327,914	07-12-1994	Shlain	
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	83	5,374,275	12-20-1994	Bradley et al.	
	84	5,380,334	01-10-1995	Torrie et al.	
	85	5,382,231	01-17-1995	Shlain	
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	91	5,425,744	06-20-1995	Fagan et al.	
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	93	5,431,666	07-11-1995	Sauer et al.	
	94	5,433,721	07-18-1995	Hooven et al.	
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	96	5,437,680	08-01-1995	Yoon	
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	99	5,458,609	10-17-1995	Gordon et al.	
	100	5,462,560	10-31-1995	Stevens	
	101	5,462,561	10-31-1995	Voda	
	102	5,465,894	11-14-1995	Clark et al.	
	103	5,470,337	11-28-1995	Moss	
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	106	5,478,354	12-26-1995	Tovey et al.	
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	108	5,496,332	03-05-1996	Sierra et al.	
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	123	5,562,684	10-08-1996	Kammerer	

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	124	5,562,686	10-08-1996	Sauer et al.	
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	152	5,665,109	09-09-1997	Yoon	
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	158	5,690,655	11-25-1997	Hart et al.	
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	165	5,720,765	02-24-1998	Thal	
	166	5,724,978	03-10-1998	Tenhoff	
	167	5,725,552	03-10-1998	Kotula et al.	
	168	5,732,707	03-31-1998	Widder et al.	
	169	5,741,297	04-21-1998	Simon	
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	202	5,891,168	04-06-1999	Thal	
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MH	206	5,899,920	05-04-1999	DeSatnick et al.	
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	240	6,079,414	06-27-2000	Roth et al.	
	241	6,086,600	07-11-2000	Kortenbach	
	242	6,110,183	08-29-2000	Cope	
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Examiner Signature		Date Considered	38-05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete If Known</b>	
Sheet	7	of	12	Application Number	10/612,109
				Filing Date	July 1, 2003
				First Named Inventor	EWERS, RICHARD C.
				Art Unit	3761
				Examiner Name	Unassigned
				Attorney Docket Number	021496-002110US

<b>U.S. PATENT DOCUMENTS+</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	255	6,179,195	01-30-2001	Adams et al.	
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				Application Number	10/612,109
				Filing Date	July 1, 2003
				First Named Inventor	EWERS, RICHARD C.
				Art Unit	3761
				Examiner Name	Unassigned
Sheet	8	of	12	Attorney Docket Number	021496-002110US

<b>U.S. PATENT DOCUMENTS*</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/612,109
(use as many sheets as necessary)				Filing Date	July 1, 2003
				First Named Inventor	EWERS, RICHARD C.
				Art Unit	3761
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Examiner Signature	Date Considered		
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/612,109
(use as many sheets as necessary)				Filing Date	July 1, 2003
				First Named Inventor	EWERS, RICHARD C.
				Art Unit	3761
				Examiner Name	Unassigned
Sheet	10	of	12	Attorney Docket Number	021496-002110US

<b>U.S. PATENT DOCUMENTS+</b>					
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		Number	Kind Code <sup>2</sup> (if known)		
MA	370	H2037 H		07-02-2002	Yates et al.
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		Country Code <sup>3</sup>	Number <sup>4</sup>			
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				Application Number	10/612,109
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				Art Unit	3761
				Examiner Name	Unassigned
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)			
MH	405	WO	2004/004544	A2	01-14-2004	Deem et al.	<input type="checkbox"/>
	406	WO	2004/019787	A2	03-11-2004	Devries et al.	<input type="checkbox"/>
	407	WO	2004/019788	A2	03-11-2004	Devries et al.	<input type="checkbox"/>
	408	WO	2004/021865	A2	03-18-2004	Zirps et al.	<input type="checkbox"/>
	409	WO	2004/021867	A2	03-18-2004	Zirps et al.	<input type="checkbox"/>
	410	WO	2004/021868	A2	03-18-2004	Zirps et al.	<input type="checkbox"/>
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	412	WO	2004/021894	A1	03-18-2004	Stone	<input type="checkbox"/>
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Substitute for form 1449B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>					
Sheet	12	of	12	Application Number	10/612,109
				Filing Date	July 1, 2003
				First Named Inventor	EWERS, RICHARD C.
				Art Unit	3761
				Examiner Name	Unassigned
				Attorney Docket Number	021496-002110US

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Examiner Signature		Date Considered	3-8-06
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		Number	Kind Code <sup>2</sup> (if known)		
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<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>2</sup> (if known)		
ME	22	WO	02/060328 A1	08-08-2002	Rex Medical, Inc.
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Substitute for form 1449A/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/612,109
(use as many sheets as necessary)				Filing Date	July 1, 2003
				First Named Inventor	EWERS, RICHARD C.
				Art Unit	3761
				Examiner Name	Unassigned
Sheet	2	of	3	Attorney Docket Number	021496-002110US

<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials*	Cita. No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
WMA	31	WO	2004/103189	A1	12-02-2004	C.R. Bard, Inc.		<input type="checkbox"/>
	32	WO	2005/004727	A1	01-20-2005	Endogun Medical Systems, Ltd		<input type="checkbox"/>
	33	WO	2005/037072	A2	04-28-2005	Esophyx, Inc		<input type="checkbox"/>
	34	WO	2005/037152	A1	04-28-2005	Synecor, LLC		<input type="checkbox"/>

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<i>Application Number</i>	10/612,109
<i>(use as many sheets as necessary)</i>				<i>Filing Date</i>	July 1, 2003
				<i>First Named Inventor</i>	EWERS, RICHARD C.
				<i>Art Unit</i>	3761
				<i>Examiner Name</i>	Unassigned
Sheet	3	of	3	<i>Attorney Docket Number</i>	021496-002110US

<b>NON PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
M H	35	CHUTTANI et al., "A Novel Endoscopic Full-thickness Plicator for Treatment of GERD: An Animal Model Study," <i>Gastrointestinal Endoscopy</i> , Vol. 26, No. 1, (2002), pp. 116-122.		
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